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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. YAMAP0741US

First Inventor or Application Identifier Ito

Title INFORMATION UPDATE COUNT ...

Express Mail Label No. EK347081518US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 44]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
4. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
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5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Certificate of Mailing & Letter Identifying Inventors

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
 Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC925 U.S. PTO  
09/689533  
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In re application of :  
: Group Art Unit:

Ito et al.

: Examiner

Express Mail No.: EK347081518US

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For: INFORMATION UPDATE COUNT MANAGING METHOD, INFORMATION  
UPDATE COUNT MANAGING APPARATUS, CONTENTS USAGE COUNT  
MANAGING METHOD, AND CONTENTS USAGE COUNT STORING APPARATUS

LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

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INFORMATION UPDATE COUNT MANAGING METHOD,  
INFORMATION UPDATE COUNT MANAGING APPARATUS,  
CONTENTS USAGE COUNT MANAGING METHOD, AND  
CONTENTS USAGE COUNT STORING APPARATUS

1. FIELD OF THE INVENTION:

15 Recently, an advanced video compression technique  
which is called "MPEG2" and an optical disc having a large  
capacity of about 5 GB which is called "DVD (digital video  
disc)" have been developed in the art. The combination of  
the two has enabled high quality digital AV data having a  
20 length of 2 hours or more to be recorded on a single DVD.  
As a result, video contents such as movies can now be enjoyed  
at home with a high image quality.

In the movie industry, it has been customary to release a new movie at different times in different countries/regions. Taking Hollywood movies for example, they are normally released first in the United States and then in Japan and/or in Europe half a year later. As a result, it is possible to selectively distribute popular movies worldwide based on their performances in the United States, or to have a sufficient period of time to solve ethical problems which may be encountered in playing certain movies in different countries/regions. Particularly, the ethical

5 policies of groups of people depend on their customs and religions and vary from one country to another. A movie that has no ethical problem in one country may have some ethical problems in another country, and therefore one must carefully consider which movies can be released in each country.

10 In view of this, a DVD and a DVD reproduction apparatus are provided with a mechanism for controlling regions where the DVD can be reproduced. Specifically, a DVD contains information indicating region(s) where the disc can be reproduced, and a DVD reproduction apparatus stores information indicating region(s) where the apparatus can be used.

15 If the only object to be achieved is to be able to store regional information in the DVD reproduction apparatus, the regional information can be set therein by using a switch (jumper pins, a dip switch, etc.), a non-volatile memory which can be written to only once (PROM), or a non-volatile memory which can be written to as many times as desired (EEPROM).

25 However, it may be inconvenient if the setting of the regional information is permitted only once, particularly when the user is transferred to a new work location abroad or when the manufacturer wishes to change the destination for export. Conversely, when the setting is permitted an unlimited number of times, the above-described ethical problems arise. In view of this, a DVD system has been designed with an upper limit for the number of times the setting is permitted, whereby the user is permitted to re-set the region of use up to the upper limit.

Such a design is typically implemented by employing an EEPROM in which data can be erased/written in a unit of WORDs (e.g., bytes), and storing the regional information which is currently set and the number of times the setting has been done (hereinafter, "setting count").

However, the technique of simply storing the regional information and/or the setting count in an EEPROM, or the like, has been vulnerable to illegal alterations such as illegally cracking or hacking the regional control. For example, if the location in the EEPROM where the regional information or the setting count is stored is revealed, the setting count value can be altered, whereby the setting is permitted an unlimited number of times. If such an illegal alteration becomes widespread among the consuming public, valuable contents can no longer be distributed, thereby detracting from the benefits to the majority of the users who are properly observing copyrights.

It is also meaningful to set, in addition to the regional information, a contents usage count ("usage" herein includes playing and copying) for controlling the number of times contents such as general software or movies can be used. For example, where the charge for a certain content is determined according to the number of times the user is permitted to use the content, the user can obtain the right to use the content to an extent which is determined based on the amount of charge the user pays. Still, if the usage count control is cracked or hacked by an illegal alteration, the content can then be used an unlimited number of times. In such a case, valuable contents can no longer be distributed, thereby detracting from the benefits to the majority of the users who are properly observing copyrights.

## SUMMARY OF THE INVENTION

5 According to one aspect of this invention, there is  
provided an information update count managing method,  
including: a write step of writing pieces of information  
in a predetermined order in an information storage area  
including at least one WORD of a non-volatile memory, wherein  
10 information can be written to the non-volatile memory in  
a unit of WORDs, each WORD including a plurality of bits,  
and information can be erased from the non-volatile memory  
in a unit of sectors, each sector including a plurality of  
WORDS; and a read step of reading out the last piece of  
15 information which has been written in the information  
storage area within a predetermined permitted update count.

In one embodiment of the invention, the method  
further includes an erase step of erasing all of the BITS  
of all of the WORDs in the sector including the information  
20 storage area.

In one embodiment of the invention, the method  
further includes an erase step of setting all of the BITS  
of all of the WORDs in each sector to "1".  
25

In one embodiment of the invention, the information  
storage area is provided in a same sector as an  
initialization operation program which is a first program  
to be executed after a reset.  
30

In one embodiment of the invention, the  
predetermined order is an ascending order of addresses of  
the WORDs.

In one embodiment of the invention, the predetermined permitted update count is determined based on the number of WORDs in the information storage area.

5

In one embodiment of the invention, the pieces of information include regional information which is used for controlling a region where a content can be reproduced.

10

In one embodiment of the invention, the write step comprises a step of writing one or more of the plurality of BITS in the at least one WORD from "1" to "0"; and the read step comprises a step of reading out, as the last piece of information which has been written in the information storage area within the predetermined permitted update count, a last hit WORD found in a search through the information storage area for WORDs in which at least one BIT is "0", the WORDs in the information storage area being searched through in the predetermined order.

15

20

In one embodiment of the invention, the write step comprises a step of writing one BIT in the at least one WORD from "1" to "0"; and the read step comprises a step of determining the information of a WORD in which two or more bits are "0" to be invalid.

25

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In one embodiment of the invention, the write step comprises a step of storing, in an update count storage area, the number of times information has been written in the information storage area.

In one embodiment of the invention, the update count storage area is in the same sector as an initialization

[illegible]

30

In one embodiment of the invention, the pieces of information include regional information which is used for controlling a region where a content can be reproduced.

According to still another aspect of this invention, there is provided a contents usage count managing method, including: a write step of writing a contents usage count in a contents usage count storage area including at least one WORD of a non-volatile memory, wherein information can be written to the non-volatile memory in a unit of WORDs.

each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs; and a read step of searching for and reading out the contents usage count which has been written in the contents usage count storage area.

In one embodiment of the invention, the contents usage count storage area of the non-volatile memory is in a sector which includes a first program to be executed after a reset.

In one embodiment of the invention, the contents usage count is read out as the number of remaining times the content can be used.

In one embodiment of the invention, the contents usage count is read out as the number of times the content has been used.

According to still another aspect of this invention, there is provided a contents usage count storing apparatus, including: a non-volatile memory wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs, and wherein the non-volatile memory comprises a contents usage count storage area including at least one WORD in the first sector of the non-volatile memory; and a micro processor unit for writing a contents usage count in the contents usage count storage area and for reading out the contents usage count which has been written in the contents usage count

storage area.

In one embodiment of the invention, the first sector of the non-volatile memory includes a first program to be executed by the micro processor unit.

In one embodiment of the invention, the contents usage count is read out as the number of remaining times the content can be used.

In one embodiment of the invention, the contents usage count is read out as the number of times the content has been used.

According to still another aspect of this invention, there is provided an information update count managing apparatus, including: a non-volatile memory wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs; and a micro processor unit. The non-volatile memory includes a boot area and a system area each including one or more sectors. The boot area comprises an information storage area including at least one WORD. A micro processor unit initialization program for initializing the micro processor unit is provided in the boot area. The micro processor unit writes pieces of information in a predetermined order in an information storage area in the unit of WORDs, and searches for and reads out a last piece of information which has been written in the information storage area within a predetermined permitted update count.

In one embodiment of the invention, the boot area further comprises a check program for checking contents of the information storage area.

5           In one embodiment of the invention, immediately after the micro processor unit is reset, the micro processor unit executes the micro processor unit initialization program and then the check program, and then the program stored in the system area.

10           In one embodiment of the invention, the boot area further comprises I/F control means for receiving a program to be stored in the system area from an upper control unit which is connected to the information update count managing  
15           apparatus.

            In one embodiment of the invention, the boot area further comprises Flash Memory update means for updating a program in the system area.

20           In one embodiment of the invention, immediately after the micro processor unit is reset, the micro processor unit executes the micro processor unit initialization program, and then waits for reception from the upper control  
25           unit which is connected to the information update count managing apparatus.

            In one embodiment of the invention, the micro processor unit calls a program in the boot area from a program  
30           in the system area.

            Thus, the invention described herein makes possible the advantages of (1) providing an information update count

managing method and an information update count managing apparatus for permitting a user to update information only a limited number of times, thereby preventing illegal alterations from becoming widespread; and (2) providing a contents usage count managing method and a contents usage count storing apparatus for limiting the number of times a user is permitted to use a content.

These and other advantages of the present invention will become apparent to those skilled in the art upon reading and understanding the following detailed description with reference to the accompanying figures.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A illustrates data stored in a Flash Memory in an erased state;

Figure 1B illustrates data stored in the Flash Memory after a first write operation;

Figure 1C illustrates data stored in the Flash Memory after a second write operation;

Figure 1D illustrates data stored in the Flash Memory after another second write operation;

Figure 1E illustrates data associated with content 1;

Figure 1F illustrates data associated with content 2;

Figure 2 is a conceptual diagram illustrating a method for managing write data in which one BIT is "0";

5 Figure 3 is a conceptual diagram illustrating another method for managing write data in which one BIT is "1";

10 Figure 4A is a conceptual diagram illustrating a data managing method using an information storage area and an update count storage area;

15 Figure 4B is a conceptual diagram illustrating a data managing method using an update count storage area storing an update count;

Figure 5 is a block diagram illustrating the structure of an optical disc apparatus;

20 Figure 6 illustrates the layout of a Flash Memory;

Figure 7 is a flow chart illustrating the entire program in a boot area;

25 Figure 8A illustrates a first step in the process of updating a system area;

Figure 8B illustrates a second step in the process of updating a system area;

30 Figure 8C illustrates a third step in the process of updating a system area;

Figure 9 is a flow chart illustrating a first check

operation;

Figure 10 is a flow chart illustrating the entire program in a system area; and

5

Figure 11 is a flow chart regarding a second check operation.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

10

Various embodiments of the present invention will now be described with reference to the accompanying drawings.

15 (Embodiment 1)

20 A Flash Memory is a non-volatile memory for storing programs and/or data. A Flash Memory is made of a chip which is divided into a number of sectors, and is characterized in that the contents thereof can be erased therefrom at once for the entire chip or in a unit of sectors, and contents can be written thereto by the unit called "WORD" which comprises 8 bits or 16 bits, for example. Each sector includes a plurality of WORDs, and each WORD includes a plurality of bits. In a Flash Memory, as compared to a  
25 conventional EEPROM, the unit of erase operation has been simplified from WORD to sector, thereby reducing the production cost per unit storage capacity. In a Flash Memory, an erase operation generally refers to an operation of setting bits to "1", and a write operation generally refers  
30 to an operation of writing bits to "0". Thus, in a Flash Memory, a write operation (writing bits from "1" to "0") is performed in a unit of WORDs, and an erase operation (setting bits from "0" to "1") is performed in a unit of

sectors.

While in the following description the present invention will be described in connection with applications where a Flash Memory is used, the term "Flash Memory" as used herein refers to any type of non-volatile memory whose memory space is divided into sectors, where an erase operation can be performed in a unit of sectors and a write operation can be performed by the smaller unit of WORDs.

A micro processor unit (hereinafter, referred to as "MPU") can be used to control a Flash Memory. A MPU is typically designed to start executing a program stored in a predetermined address each time the MPU is reset. The program stored in this predetermined address includes processes which are essential when an MPU is used, and may be, for example, an MPU initialization operation program for various settings such as a memory space weight setting, a stack pointer initial value setting, various interrupt enablement/disablement settings, etc.

When a Flash Memory is used to store a program for an MPU, the Flash Memory includes only one sector which includes an address from which the program is executed after the MPU is reset. In this specification, this sector is referred to as the "first sector". If data in this sector is erased, the MPU cannot be initialized properly, and goes out of control. Therefore, this sector is provided so that data cannot be easily erased therefrom, and thus is suitable for storing data which should not be erased.

Now, an operation of updating one WORD of data in a Flash Memory will be described with reference to Figures 1A

to 1F which illustrate the individual bits included in the WORD.

When programs including the MPU information operation program are initially written in a Flash Memory using a special-purpose writing device, or the like, a predetermined area of the Flash Memory is left unused as an information storage area. In a WORD (e.g., 8 bits) within this information storage area, all of the 8 bits are "1", as illustrated in Figure 1A.

Then, as illustrated in Figure 1B, this WORD is updated with data having a BIT pattern of "0111111b" (the suffix "b" indicates a binary representation). As a result, "0111111b" is stored in this WORD.

Then, as illustrated in Figure 1C, the WORD having such a BIT pattern as illustrated in Figure 1B is further updated with data having a BIT pattern of "1101011b". As a result, "0101011b" is stored in this WORD. Thus, a Flash Memory stores a logical AND of the existing BIT pattern and the new BIT pattern.

Similarly, if the WORD having such a BIT pattern as illustrated in Figure 1B is updated with "1101111b", "0101111b" is stored in this WORD (see Figure 1D).

Now, a specific example where information on the regional control ("regional information") of an apparatus is stored in the apparatus using a Flash Memory as described above will be described. In such a case, a condition may be set so that the regional information which is stored in a unit of WORDs needs to satisfy the following two

conditions: (1) at least one BIT needs to be "0"; and (2) the number of "0" bits cannot exceed a predetermined number (otherwise the regional information is considered invalid). It is understood that the apparatus can never be used in more than one region at the same time. For example, in the regional information, one BIT corresponding to the region where the apparatus can be used may be written to "0" while setting the other bits to "1". In such a case, only one BIT of data is supposed to be "0" (condition (1)), and the data is considered invalid if two or more bits thereof is "0" (condition (2)). Thus, data which has two "0" bits such as that illustrated in Figure 1D is considered invalid (under the condition (2)).

The regional information can be stored in the apparatus as described above. In the resulting data as illustrated in Figure 1B, only the first BIT of the WORD is "0". If an apparatus has this data as the regional information, the data indicates that the apparatus can be used in a region A. A content used with the apparatus (e.g., a DVD to be reproduced by the apparatus) may also have regional information. In such a case, it is possible to determine whether the apparatus can reproduce the content in a region by collating the regional information contained in the apparatus with that contained in the content. For example, if content 1 has data representing regional information (in this example, one WORD consisting of 8 bits) as illustrated in Figure 1E, with the 8 bits from the leftmost BIT respectively corresponding to regions A-H, each "0" BIT representing a region where content 1 can be reproduced, and each "1" BIT representing a region where content 1 cannot be reproduced, content 1 can be reproduced by an apparatus which is used in any of regions A, C and

D. If the apparatus for reproducing content 1 stores the resulting data as illustrated in Figure 1B, the apparatus can reproduce content 1. Conversely, such an apparatus cannot reproduce content 2 which has data of "1010000b" as illustrated in Figure 1F.

In the above-described setting, the number of WORDs of an area for storing information is set to be equal to the number of times it is permitted to perform an update operation. Figure 2 illustrates a case where the number of times "N" it is permitted to perform an update operation (the upper limit of the number of times the user is permitted to perform an update operation) is set to 8. A regional information managing method will now be described with reference to Figure 2.

A first sector 202 of a Flash Memory 201 includes a program area 203 containing an MPU initialization operation program and an information storage area 204 having a size of N (=8) WORDs. In this specification, the "first sector" refers to a sector including a program area for storing a program which is initially executed each time an MPU is reset. Therefore, when the MPU is reset, the program at the leading address in the first sector 202 is first executed. In the N-WORD information storage area 204, if no data has been written thereto, all of the bits are "1". In such a case, when each WORD 205 in the information storage area 204 includes 8 bits, for example, the WORD 205 is expressed as "11111111b" in binary representation and as "FFh" in hexadecimal representation (the suffix "h" indicates a hexadecimal representation).

Regional information is written to one of the

WORDS 205 of the information storage area 204. When more than one regional information is written in the information storage area 204, the WORDs 205 are sequentially used in an ascending order of WORD addresses. For example, the first regional information ("F7h"="11110111b") is written to a first WORD 206, the second regional information ("FBh"="11111011b") is written to a second WORD 207, and the third regional information ("7Fh"="01111111b") is written to a third WORD 208. When a user has updated the regional information the number of times "N" the user is permitted to update regional information, no more area is available for meaningfully storing regional information. When the user nevertheless writes the N+1<sup>th</sup> regional information (the 9th regional information in this example) to the fourth WORD 209, for example, exceeding the upper limit of the number of times the user is permitted to perform an update operation, there will be more than one "0" bit ("3Fh"="00111111b") (recall a Flash Memory stores a logical AND of the existing BIT pattern and the new BIT pattern). Thus, the regional information is determined to be invalid.

The last information (i.e., the last piece of information) which has been written in the information storage area 204 can be determined as follows. That is, the information storage area 204 is searched for a WORD in which at least one BIT is "0" in an ascending order of WORD addresses, thereby determining the last "hit" WORD to be the last information which has been written in the information storage area 204. The address of the last "hit" WORD can be stored using the MPU so that the last information which has been written in the information storage area 204, within the upper limit of the number of times it is permitted to perform an update operation, can be later retrieved.

In order to use the N WORDs of the information storage area 204 in an ascending order of WORD addresses, each new regional information can be written to an address which is obtained by incrementing the address X of the last WORD used by one.

In the above-described example, the setting is such that always only one BIT in a WORD is supposed to be "0" so that there is always a one-to-one correspondence between one of the regions A-H where the apparatus is used and the only one "0" bit. Alternatively, there may be more than one "0" BIT in a WORD if the information storage area 204 is used to store information on changes in regional information. For example, it may be set so that the regional information which is stored in a unit of WORDs needs to satisfy the following two conditions: (1) at least one BIT needs to be "0"; and (2) the number of "0" bits cannot exceed 8 (otherwise the regional information is considered invalid). Since there are only 8 bits in a WORD, the condition (2) is always satisfied. Under the conditions (1) and (2), any regional information having a value other than "FFh" ("11111111b") is considered valid.

In the above-described setting, the BIT value may be inverted ("0" to "1" and "1" to "0"), i.e., (1) at least one BIT of data which is recorded in a unit of WORDs needs to be "1"; and (2) the number of "1" bits of the data cannot exceed a predetermined number. When such an alternative setting is used for the regional information stored in the apparatus, the one BIT corresponding to the region where the apparatus can be used is set to "1" while writing the other bits to "0". In such a case, only one BIT of data is

supposed to be "1" (condition (1)), and the data is considered invalid if two or more bits thereof is "1" (condition (2)).

5           Figure 3 is a conceptual diagram illustrating another, bit-reversed, method for managing regional information. Figure 3 will now be described, wherein like elements to those shown in Figure 2 are denoted by like reference numerals and will not be further described below.

10           Regional information is written to one of the WORDs 205 of the information storage area 204. When more than one regional information is written in the information storage area 204, the WORDs 205 are sequentially used in an ascending order of WORD addresses. For example, the first regional information ("08h"="00001000b") is written to a first WORD 306, the second regional information ("04h"="00000100b") is written to a second WORD 307, and the third regional information ("80h"="10000000b") is written to a third WORD 308. When a user has updated the regional information the number of times "N" the user is permitted to update regional information, no more area is available for meaningfully storing regional information. When the user nevertheless writes new regional information to the fourth WORD 309, for example, all of the bits therein will be "0" ("00h"="00000000b"). Thus, new regional information cannot be set after the user has updated the regional information the number of times "N" the user is permitted to update regional information. For example, consider a case where "01h" ("00000001b") is set in the WORD 309. When the WORD 309 is updated with a value in which a different BIT is "1" (e.g., "02h"="00000010b"), all of the bits of the WORD 309 become "0" (recall a Flash Memory

5           Next, another method for managing regional  
information will be described with reference to Figure 4A,  
which can be used even in a case where a WORD of regional  
information may take any value including "FFh"  
("11111111b"). In Figure 4A, like elements to those shown  
10 in Figure 2 are denoted by like reference numerals and will  
not be further described below.

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of "3Fh" ("00111111b"). When the third regional information ("7Fh"="01111111b") is written to a third WORD 208, the third significant BIT of the update count storage area 410 is changed to "0", resulting in a value of "1Fh" ("00011111b"). When a user has updated the regional information the number of times "N" the user is permitted to update regional information, no more area is available for meaningfully storing regional information, with all of the bits of the update count storage area 410 being "0" indicating that the user is no longer permitted to perform the update operation. As illustrated in Figure 4A, when the N+1<sup>th</sup> write operation is performed, all of the bits of the update count storage area 410 are maintained to be "0".

The last information which has been written in the information storage area 204 can be determined as follows. That is, the number "X" of "0" bits in the update count storage area 410 can be obtained, thereby determining the X<sup>th</sup> WORD in the information storage area to be the last information which has been written in the information storage area 204. Therefore, the number "X" of "0" bits in the update count storage area 410 indicates the number of times the information has been updated in the information storage area 204. Conversely, the number Y of "1" bits in the update count storage area 410 indicates the number of remaining times the information can be updated in the information storage area 204.

In order to use the N WORDs of the information storage area 204 in an ascending order of WORD addresses, each new regional information can be written to the X+1<sup>th</sup> WORD.

In order to limit the number of times a user is permitted to use a content such as general software, a contents usage count storage area 420 may be provided in the first sector 202 without providing the information storage area 204 as illustrated in Figure 4B. The contents usage count can be counted because the contents usage count storage area 420 has a similar function as that of the update count storage area 410 described above. The content 210 to be used is typically included in the Flash Memory 201 as illustrated in Figure 4B.

As illustrated in Figure 4B, the count of the contents usage count storage area 420 may be decreased each time the content is used, e.g., from "FFh" (= "11111111b") to "7Fh" (= "01111111b"), to "3Fh" (= "00111111b"), and so on. The usage count may thus be decreased by successively writing "0" bits in the contents usage count storage area 420 starting from the leftmost BIT in the BIT pattern, and it is possible to count in a descending order the number of remaining times the content can be used by reading out the number of remaining "1" bits. Conversely, it is possible to count in an ascending order the number of times the content has been used by reading out the number of "0" bits which have been written. The user can no longer use the content when the contents usage count storage area 420 becomes "00h" (= "00000000b"). It is possible to count up to 8 when one 8-bit WORD is used.

As described above, Embodiment 1 of the present invention advantageously utilizes a Flash Memory as a WORM (write-once-read memory) based on the characteristic of the Flash Memory that when data is written thereto, without erasing the existing data beforehand, the logical AND of

the existing value and the new value is stored therein. Since the information storage area 204 is present in the same sector as the program area 203, when data stored in the information storage area 204 is erased, data in the program area 203 is also erased. If the data in the program area 203 is erased, the MPU cannot be initialized properly so that the MPU goes out of control. Thus, the user can change the regional information only a predetermined number of times. Since an MPU is designed to start executing a program stored in a particular address each time the MPU is reset, an area to be used as a WORM can be arranged in the sector of the Flash Memory including the particular address to prevent data in the area from being erased so that the area can only be used as a WORM.

(Embodiment 2)

Now, Embodiment 2 of the present invention will be described with reference to figures. Embodiment 2 of the present invention is an optical disc apparatus which uses an information storage area as described above in Embodiment 1 to check whether the contents of the information storage area are "appropriate" (herein, "the contents of the information storage area being appropriate" means, for example, that the number of times an update operation has been performed or the number of times an information content has been used by the user is within the number of times the user is permitted to do so), thereby ensuring that the apparatus properly operates based on the check results.

Figure 5 is a block diagram illustrating the structure of an optical disc apparatus 502 according to Embodiment 2 of the present invention. As illustrated in

Figure 5, the optical disc apparatus 502 is connected to an upper control unit 501 such as a personal computer via an I/F (interface) bus 503 such as SCSI or ATAPI bus.

5           The optical disc apparatus 502 includes a Flash  
Memory 508, an MPU 505 for controlling the optical disc  
apparatus 502 according to a control program stored in the  
Flash Memory 508, an I/F circuit 504 such as SCSI or ATAPI  
10       circuit for providing a protocol control, an copyright  
protection circuit 506 for providing mutual authentication  
and/or encryption of information so as to protect the  
copyright of a content to be used, a DRAM 507 for temporarily  
storing data, and other circuits 509 for providing a servo  
15       control of an optical pickup (not shown), photoelectric  
conversion, signal processing, etc. The I/F circuit 504,  
the MPU 505, the copyright protection circuit 506, the  
DRAM 507, the Flash Memory 508, and the other circuits 509  
are connected together via an internal bus 510. In the  
present embodiment, an optical disc apparatus will be  
20       described as an example of an information update count  
managing apparatus or a contents usage count storing  
apparatus of the present invention. However, it is  
understood that the present invention is not limited to an  
optical disc apparatus.

25           An advantage of using the Flash Memory 508 for  
storing a control program is that the control program can  
be modified in order to address any problem occurring in  
the control program or to accommodate a new standard.  
30       However, if one fails to properly modify the control program  
stored in the Flash Memory 508, the optical disc  
apparatus 502 may be irrecoverably damaged.

Thus, it is common in the art to divide the memory space of the Flash Memory 508 into a boot area (which contains the minimum function which is required for a recover operation, and which is written only at the time of factory shipment) and a system area (which stores the latest control program and is updated even after the factory shipment) based on the characteristic of the Flash Memory 508 that data can be erased therefrom in a unit of sectors. In view of such a division of the memory space of the Flash Memory 508, it is possible to properly manage the number of times a piece of information has been updated by detecting tampering of information and/or ensuring that the information is always referenced. This can be implemented by a method as described below.

Figure 6 illustrates the layout of the Flash Memory 508. The memory space of the Flash Memory 508 is generally divided into the boot area 601 and the system area 602. Each of these areas includes a number of sectors of the Flash Memory 508. In this example, the boot area 601 includes a first sector 603 and a second sector 604, and the system area 602 includes a third sector 605, a fourth sector 606 and a fifth sector 607. Since the boot area 601 is required to have the function of recovering the optical disc apparatus 502, the boot area 601 stores an MPU initialization operation program 610, an I/F control operation program 611, and a Flash Memory update operation program 612. The boot area 601 further includes an information storage area 615 for storing information which the user is permitted to use or update only a limited number of times, a first check operation program 613 for checking the contents of the information storage area 615, and a second check operation program 614. The system area 602

stores programs for controlling the other circuits 509 (Figure 5) and a system control program 616 for implementing all of the functions of the optical disc apparatus 502. The first check operation program 613 and the second check operation program 614 are arranged in the first sector 603 included in the boot area 601 so that they cannot be erased.

Figure 7 is a flow chart illustrating a main function of the boot area 601. When the MPU 505 is reset, e.g., when the power supply is turned ON, an MPU initialization operation is performed (step 701). Then, it is determined whether or not the control of the MPU is to stay in the boot area 601 (step 702). As long as the control of the MPU stays in the boot area 601 and is not allowed to move onto the system area, the content in the Flash Memory 508 cannot be used. If so, the process proceeds to the I/F control operation (step 705). Otherwise, the process proceeds to the first check operation (step 703). Whether or not the control of the MPU is to stay in the boot area 601 can either be specified by shorting jumper pins or determined by calculating the check-sum of data in the system area 602. After the first check operation is performed to check the contents of the information storage area 615 (step 703), it is determined whether or not the contents are appropriate (step 704). If so, the process proceeds to the system control program 616 stored in the system area 602. Otherwise, the process proceeds to the I/F control operation (step 705). In step 705, the MPU performs an I/F control operation of waiting for and receiving a command from the upper control unit 501. Then, it is determined what command the command received from the upper control unit 501 is (step 706). If the received command is to update the system control program, a Flash

Memory update operation is performed (step 707) wherein the MPU resets itself. Otherwise, an operation according to the received command is performed (step 708), and the process returns to step 705 to wait for another command from the upper control unit 501.

Figures 8A to 8C illustrate the process of updating the system area 602 (corresponding to steps 705-707 in Figure 7) which is performed in the boot area 601. In Figures 8A to 8C, like elements to those shown in Figure 5 are denoted by like reference numerals.

In Figure 8A, the upper control unit 501, which has obtained the latest system control program 616 from the Internet, for example, commands the optical disc apparatus 502 to update the system control program and sends the new system control program 616 to the optical disc apparatus 502. The optical disc apparatus 502 temporarily stores the received system control program 616 in the DRAM 507.

In Figure 8B, the optical disc apparatus 502, which has been commanded to update the system control program, copies the Flash Memory update operation program 612 in the boot area 601 of the Flash Memory 508 into the DRAM 507. The program 612 is copied into the DRAM 507 because the MPU 505 cannot execute programs on the Flash Memory 508 for performing a read operation, and other operations, while updating the Flash Memory 508.

In Figure 8C, the MPU 505 temporarily transfers control to the Flash Memory update operation program in the DRAM 507, and erases the system area 602 in the Flash

Memory 508 according to the program, after which the MPU 505 writes the system control program 616 in the DRAM 507 into the system area 602.

5           A system control program 616, which is disclosed to users, is likely to be tampered with. Therefore, it is apparent that the first check operation program 613 for checking the contents of the information storage area 615 and the second check operation program 614 are also  
10 preferably arranged in the boot area 601 as the information storage area 615. In this specification, the operation of checking the contents of the information storage area is referred to as the "first check operation" and the checking operation regarding the copyright of the system control  
15 program is referred to as the "second check operation".

          Figure 9 is a detailed flow chart illustrating the first checking operation (step 703) shown in Figure 7. First, variables are initialized (step 901). Specifically,  
20 the check result is set to "normal", and the WORD to be checked is set to the "leading WORD" in the information storage area 615. Then, until all of the WORDs of the information storage area 615 are checked (step 902), the following process is repeated. It is determined whether or not the  
25 checked WORD is appropriate (step 903). If there is any inappropriate value, the check result is set to "abnormal" (step 904), and the check operation is terminated. If the checked WORD has an appropriate value, the WORD to be checked is set to the next WORD in the information storage area 615  
30 (step 905) and the process returns to step 902. When it is determined that all of the WORDs in the information storage area 615 have been checked (step 902), the check operation is terminated.

As described above, the first check operation program 613 is arranged in the boot area 601 (see Figure 6) in which programs are unlikely to be tampered with, and is executed before control is transferred to the system control program 616 (see Figure 7). In this way, it is possible to ensure that the operation of checking whether or not the contents of the information storage area 615 have been tampered with is performed. Therefore, it is possible to assure that the contents of the information storage area 615 are trustworthy at the time when control is transferred to the system control program 616.

Figure 10 is a flow chart generally illustrating the process for the system area.

When control is transferred to the system control program 616 stored in the system area 602, the MPU 505 performs an I/F control operation of waiting for and receiving a command from the upper control unit 501 (step 1001). Then, it is determined what command the command received from the upper control unit 501 is (step 1002). If the received command is a command regarding copyright protection, the second check operation program 614 is executed (step 1003) so that the MPU 505 operates according to the contents of the information storage area 615, and the process returns to the I/F control operation at step 1001. Otherwise, another operation built into the system area is performed (step 1004), and the process returns to the I/F control operation at step 1001.

Figure 11 is a flow chart illustrating the second checking operation. After control is transferred to the

system control program 616, the first check operation (step 1101) is performed for checking whether or not the information storage area 615 has been tampered. Then, it is determined whether or not the result of the first check operation is "normal" (step 1102). If the result is not "normal", the process is terminated without performing any other operation. If the result is "normal", the current regional information is set based on the last WORD which was recorded in the information storage area 615 by referencing the information storage area 615 (step 1103). Then, by using the regional information and the copyright protection circuit 506, a copyright related operation (i.e., the second check operation) is performed (step 1104).

As described above, the second check operation program 614 is arranged in the boot area 601 (see Figure 6) in which programs are unlikely to be tampered with, and is executed after control is transferred to the system control program 616 (see Figure 10). Since the second check operation program 614 includes a program for controlling the copyright protection circuit 506 which does not exist in the system control program 616 stored in the system area 602, it is necessary to invoke the second check operation program 614 in order to perform an operation required by the upper control unit 501. Thus, it is possible to reliably perform the operation of checking whether or not the contents of the information storage area 615 have been tampered and to perform the copyright protection operation according to the contents of the information storage area 615 after control is transferred to the system control program 616.

The value written in the information storage area,

the limitation on the order in which the various areas are used, and the value written in the update count storage area, as described above in Embodiment 1 are merely exemplary of the present invention. The present invention can be used  
5 in connection with any method or apparatus which protects stored information by using the device characteristic of a Flash Memory that when data is written thereto, without erasing the existing data beforehand, the logical AND of the existing value and the new value is stored therein.  
10 Moreover, the information to be stored in the information storage area is not limited to regional information. The position of the information storage area is not limited to the sector having the leading address in the Flash Memory, but the information storage area may be arranged in any  
15 sector including the address at which the MPU starts executing programs after it is reset.

In Embodiment 2, the boot area includes the first sector and the second sector. However, the present  
20 invention is not limited thereto. The present invention is applicable as long as the sector including the address at which the MPU begins executing programs after it is reset is included in the boot area as its component. Moreover, the flow of the process for the boot area is merely exemplary  
25 of the present invention. The present invention is applicable as long as the first check operation can be performed before control is transferred to the system area. The first check operation illustrated in the flow chart of Figure 9 is also exemplary, and any other procedure may be  
30 employed as long as it is possible to check whether or not the information storage area has been tampered with. In the second check operation illustrated in the flow chart of Figure 11, the check operation is performed in combination

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5 In the information update count managing method of  
the present invention, the program for checking the  
information storage area is arranged in an area where it  
is unlikely to be tampered with and is executed before  
control is transferred to an updatable program. In this way,  
it is possible to reliably perform the operation of checking  
whether or not the contents of the information storage area  
have been tampered with. Therefore, it is possible to assure  
10 that the contents of the information storage area are  
trustworthy at the time when control is transferred to the  
updatable program.

15 In the information update count managing method of  
the present invention, the program for checking the  
information storage area and the program for performing an  
operation, in which the contents of the information storage  
area are used, are arranged in an area where they are unlikely  
to be tampered with, and are executed after control is  
20 transferred to an updatable program. Since the program for  
performing the check operation includes a process which is  
essential for performing a process required by the upper  
control unit, the program must be invoked. Therefore, it  
is possible to ensure that the operation of checking whether  
25 or not the contents of the information storage area have  
been tampered with, and the operation in which the contents  
of the information storage area are used, are reliably  
performed after control is transferred to the updatable  
program operation.

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Various other modifications will be apparent to and  
can be readily made by those skilled in the art without  
departing from the scope and spirit of this invention.

[illegible]

## WHAT IS CLAIMED IS:

1. An information update count managing method, comprising:  
a write step of writing pieces of information in a predetermined order in an information storage area including at least one WORD of a non-volatile memory, wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs; and  
a read step of reading out a last piece of information which has been written in the information storage area within a predetermined permitted update count.
2. An information update count managing method according to claim 1, further comprising an erase step of erasing all of the BITS of all of the WORDs in the sector including the information storage area.
3. An information update count managing method according to claim 1, further comprising an erase step of setting all of the BITS of all of the WORDs in each sector to "1".
4. An information update count managing method according to claim 1, wherein the information storage area is provided in a same sector as an initialization operation program which is a first program to be executed after a reset.
5. An information update count managing method according to claim 1, wherein the predetermined order is an ascending order of addresses of the WORDs.

7. An information update count managing method according to claim 1, wherein the pieces of information include regional information which is used for controlling a region where a content can be reproduced.

the write step comprises a step of writing one or more of the plurality of BITS in the at least one WORD from "1" to "0"; and

9. An information update count managing method according to claim 1, wherein:

the read step comprises a step of determining the information of a WORD in which two or more bits are "0" to be invalid.

10. An information update count managing method according to claim 1, wherein the write step comprises a step of

storing, in an update count storage area, the number of times information has been written in the information storage area.

11. An information update count managing method according to claim 10, wherein the update count storage area is in the same sector as an initialization operation program which is a first program to be executed after a reset.

12. An information update count managing apparatus, comprising:

a non-volatile memory wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs, and wherein the non-volatile memory comprises an information storage area including at least one WORD in a first sector of the non-volatile memory; and

a micro processor unit for writing pieces of information in a predetermined order in the WORDs of the information storage area and for reading out a last piece of information which has been written in the at least one WORD of the information storage area within a predetermined permitted update count.

13. An information update count managing apparatus according to claim 12, wherein the first sector includes a first program to be executed by the micro processor unit.

14. An information update count managing apparatus according to claim 12, wherein the predetermined order is based on addresses of the WORDs.

19. An information update count managing apparatus according to claim 12, wherein the non-volatile memory comprises an update count storage area for storing the number of times information has been written in the information storage area.

[illegible]

a read step of searching for and reading out the contents usage count which has been written in the contents usage count storage area.

23. A contents usage count managing method according to claim 21, wherein the contents usage count is read out as the number of remaining times the content can be used.

25. A contents usage count storing apparatus, comprising:

a non-volatile memory wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs, and wherein the non-volatile memory comprises a contents usage count storage area including at least one WORD in a first sector of the non-volatile memory; and

a micro processor unit for writing a contents usage count in the contents usage count storage area and for reading out the contents usage count which has been written in the contents usage count storage area.

26. A contents usage count storing apparatus according to claim 25, wherein the first sector of the non-volatile memory includes a first program to be executed by the micro processor unit.

27. A contents usage count storing apparatus according to claim 25, wherein the contents usage count is read out as the number of remaining times the content can be used.

28. A contents usage count storing apparatus according to claim 25, wherein the contents usage count is read out as the number of times the content has been used.

29. An information update count managing apparatus, comprising:

a non-volatile memory wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs; and

a micro processor unit, wherein:

the non-volatile memory includes a boot area and a system area each including one or more sectors;

the boot area comprises an information storage area including at least one WORD;

a micro processor unit initialization program for initializing the micro processor unit is provided in the boot area; and

the micro processor unit writes pieces of information in a predetermined order in an information storage area in the unit of WORDs, and searches for and reads out a last piece of information which has been written in the information storage area within a predetermined permitted update count.

30. An information update count managing apparatus according to claim 29, wherein the boot area further comprises a check program for checking contents of the information storage area.

31. An information update count managing apparatus according to claim 30, wherein immediately after the micro processor unit is reset, the micro processor unit executes the micro processor unit initialization program and then the check program, and then the program stored in the system area.

32. An information update count managing apparatus according to claim 29, wherein the boot area further comprises I/F control means for receiving a program to be stored in the system area from an upper control unit which is connected to the information update count managing apparatus.

[illegible]

35. An information update count managing apparatus according to claim 29, wherein the micro processor unit calls a program in the boot area from a program in the system area.

The present invention provides an information update count managing method, including: a write step of writing pieces of information in a predetermined order in an information storage area including at least one WORD of a non-volatile memory, wherein information can be written to the non-volatile memory in a unit of WORDs, each WORD including a plurality of bits, and information can be erased from the non-volatile memory in a unit of sectors, each sector including a plurality of WORDs; and a read step of reading out the last piece of information which has been written in the information storage area within a predetermined permitted update count.

FIG. 1A

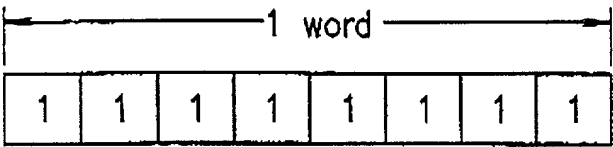


FIG. 1B

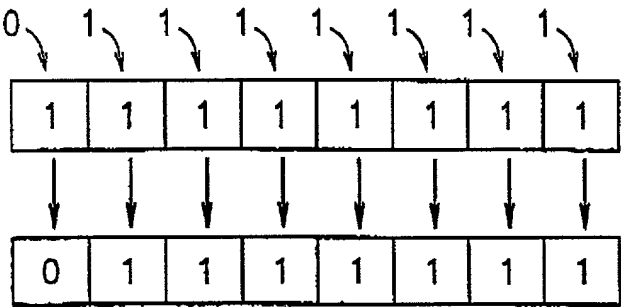
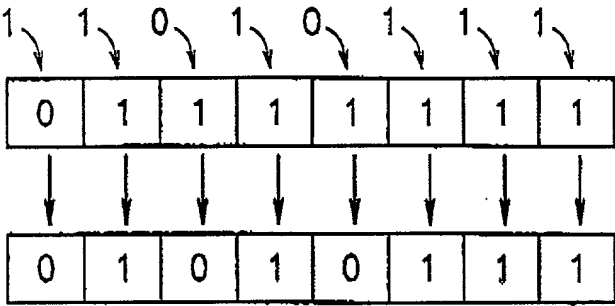
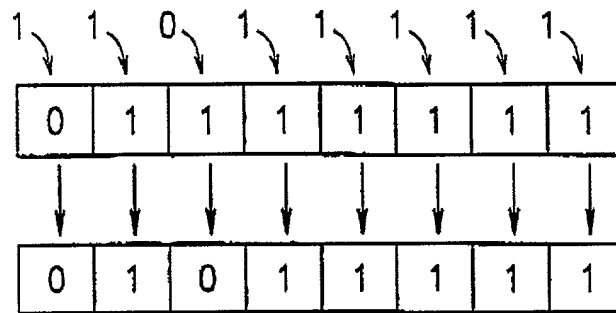


FIG. 1C



*FIG. 1D**FIG. 1E*

| Region    | A | B | C | D | E | F | G | H |
|-----------|---|---|---|---|---|---|---|---|
| Content 1 | 0 | 1 | 0 | 0 | 1 | 1 | 1 | 1 |

*FIG. 1F*

| Region    | A | B | C | D | E | F | G | H |
|-----------|---|---|---|---|---|---|---|---|
| Content 2 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |

FIG. 2

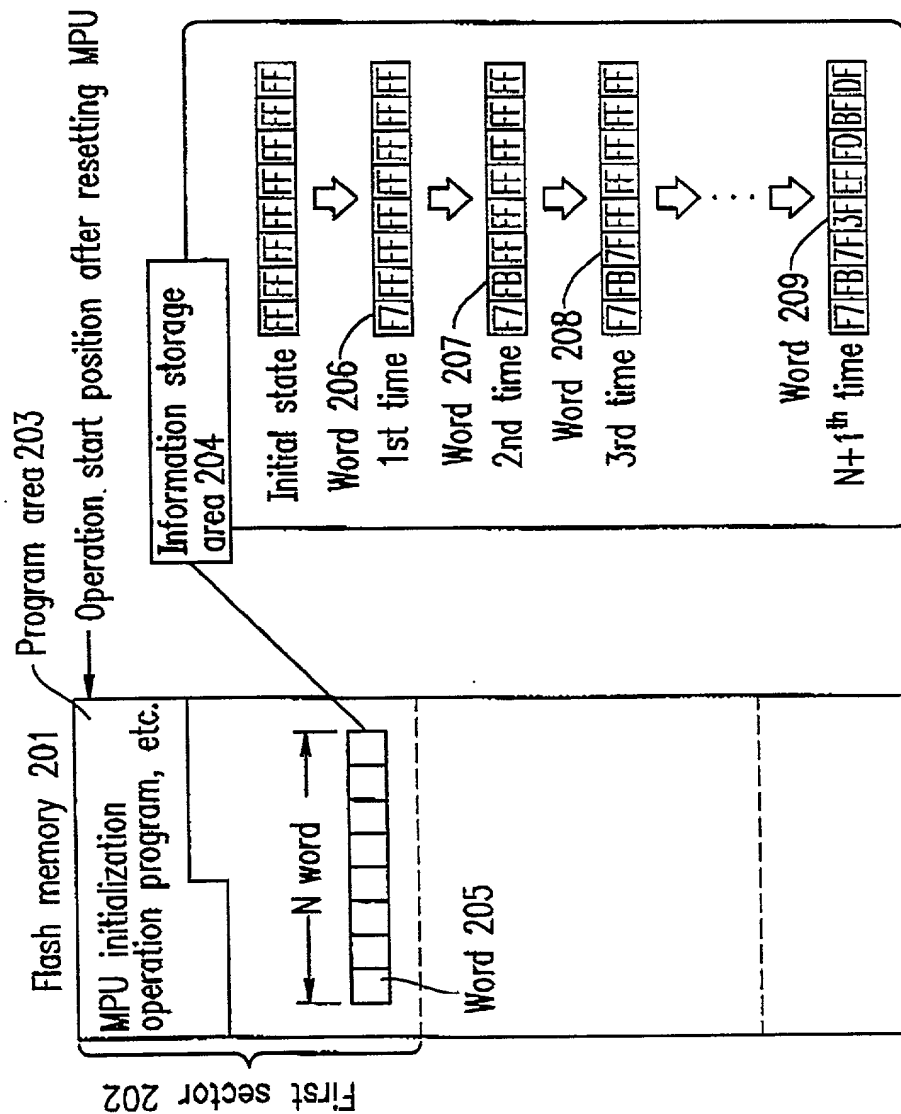


FIG.3

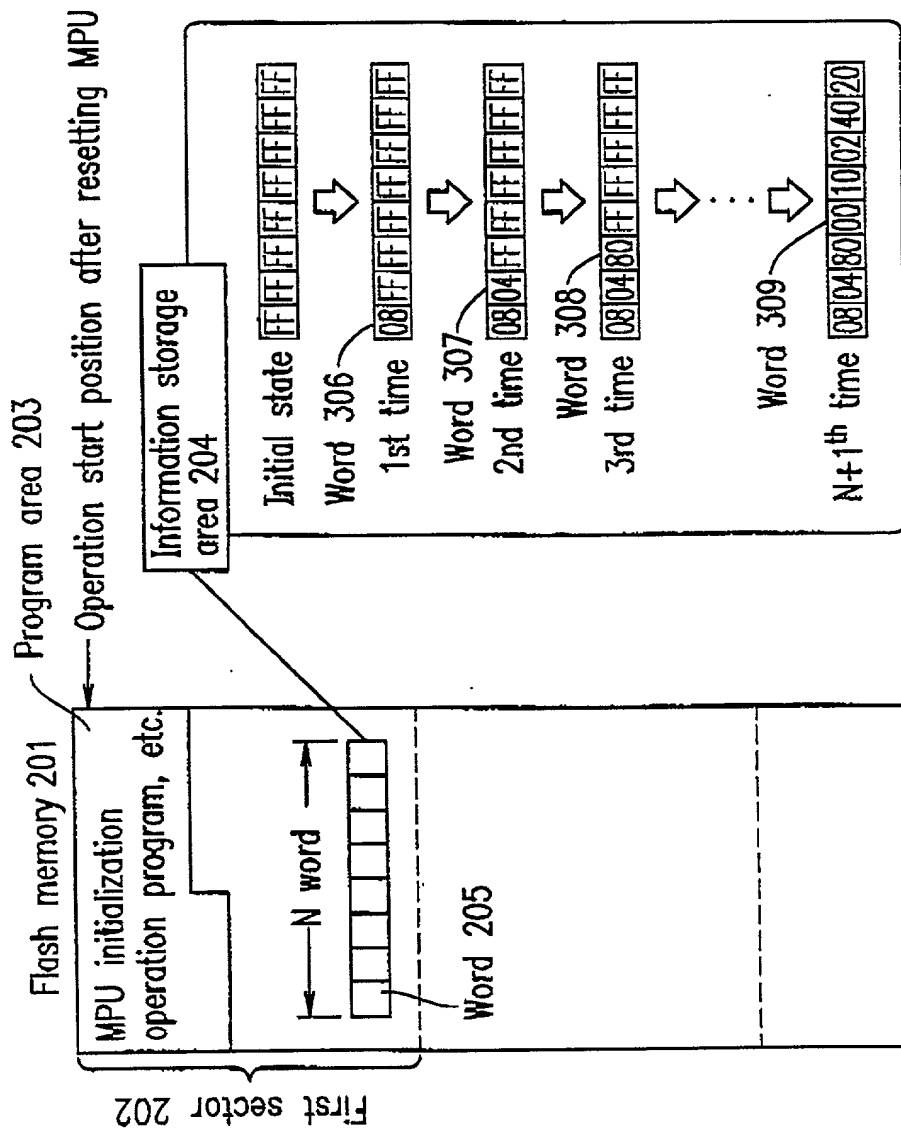
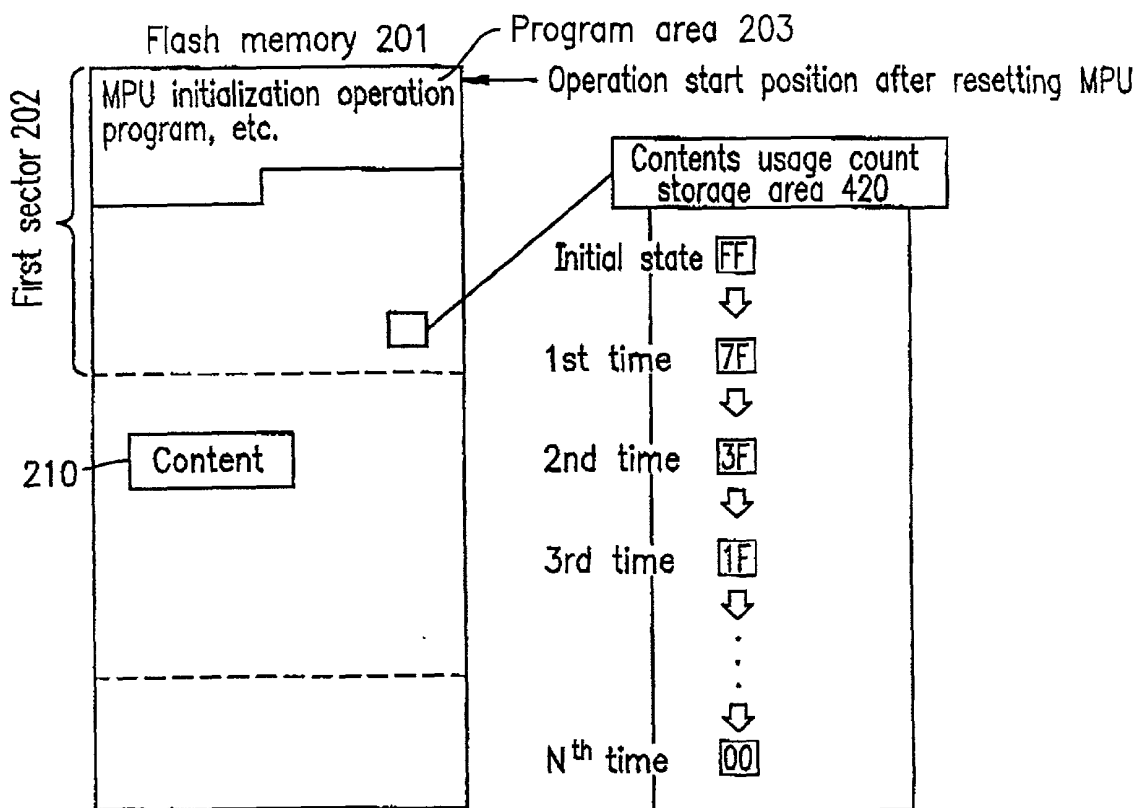




FIG. 4B



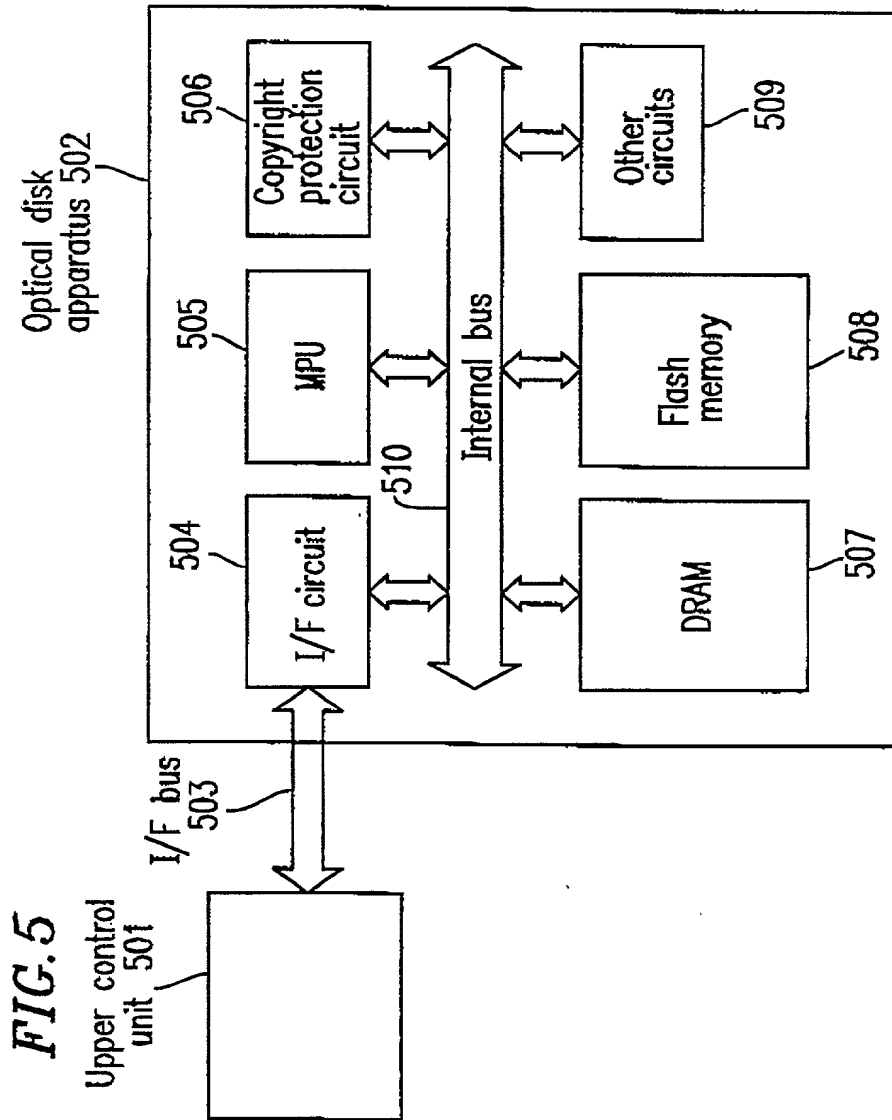


FIG. 6

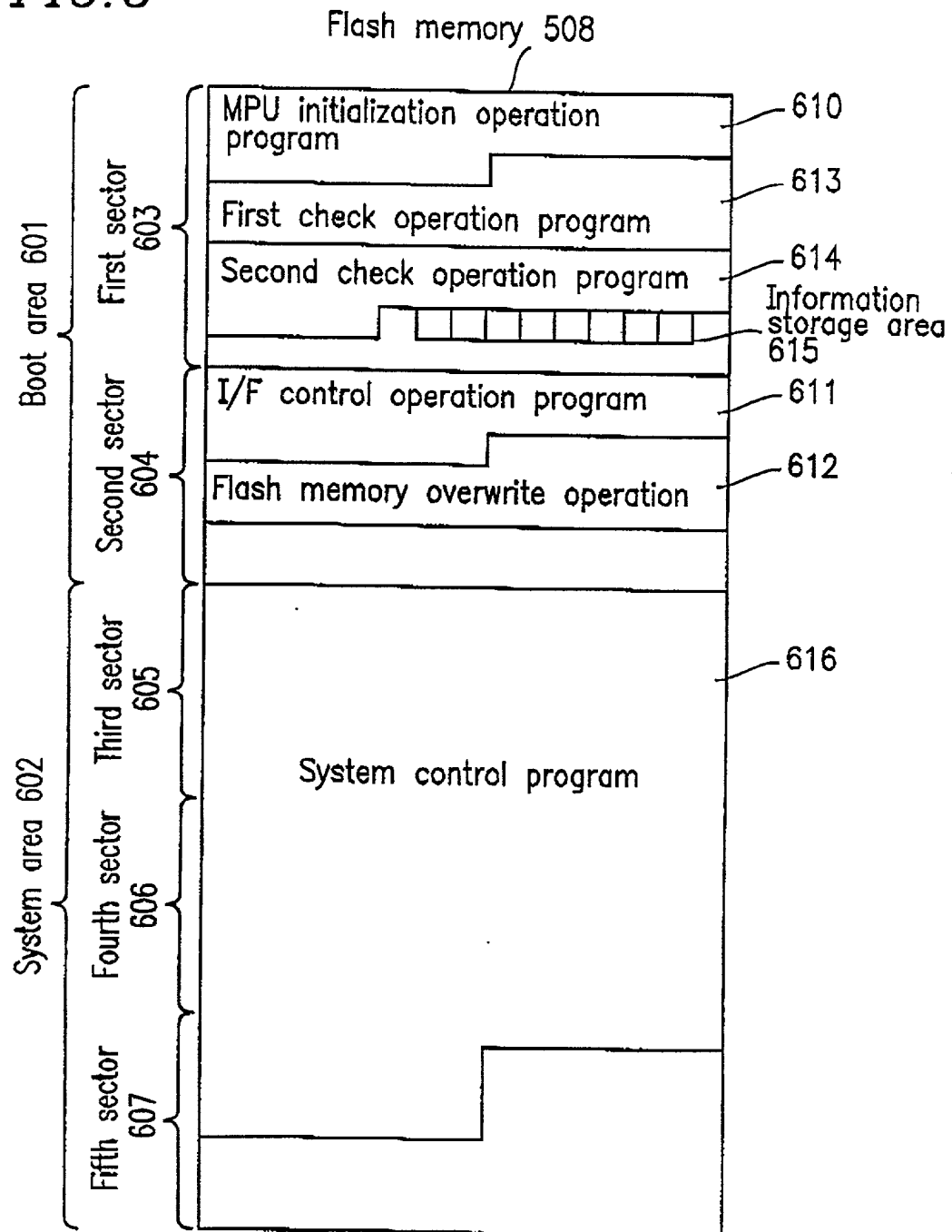
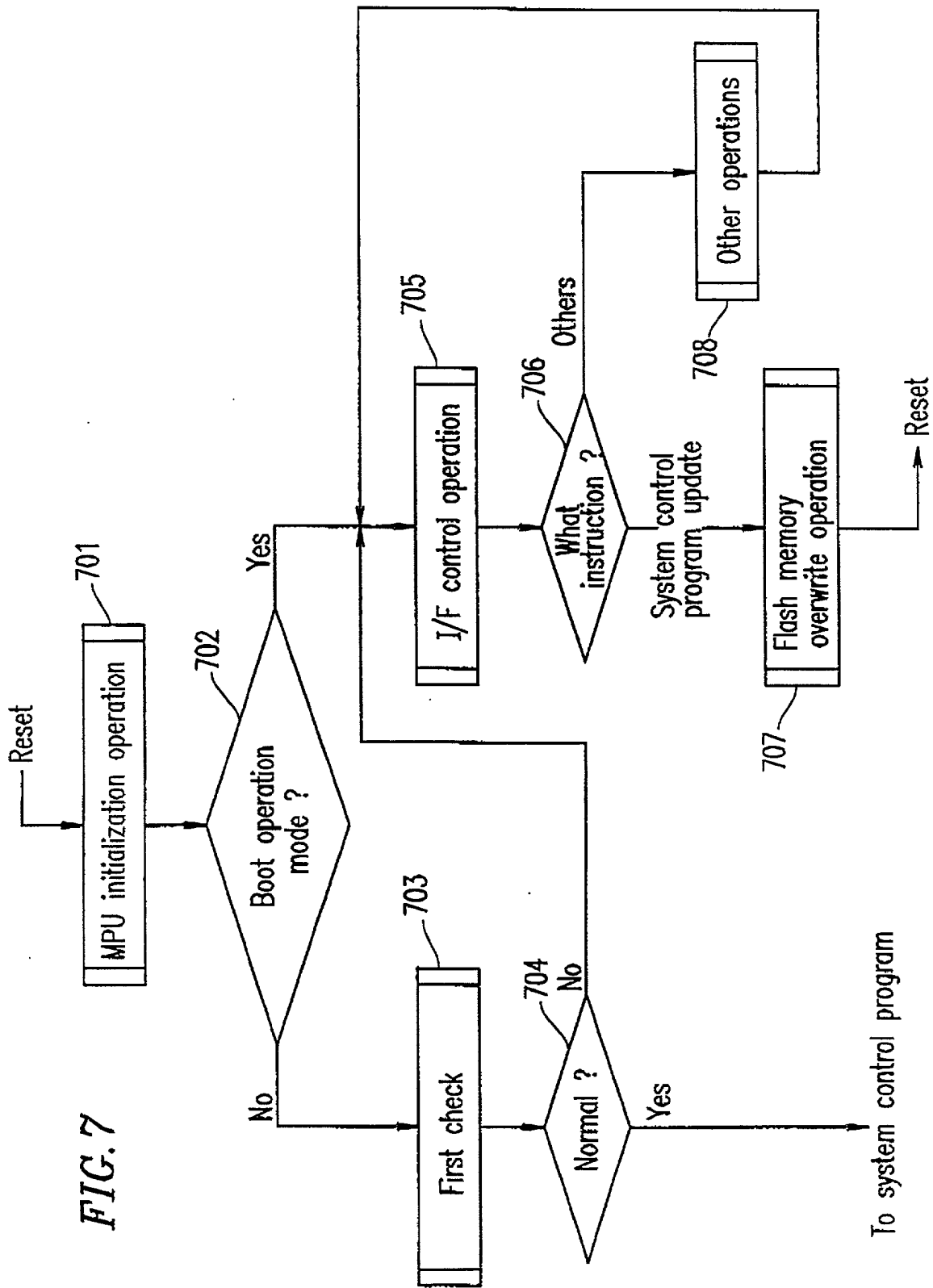


FIG. 7



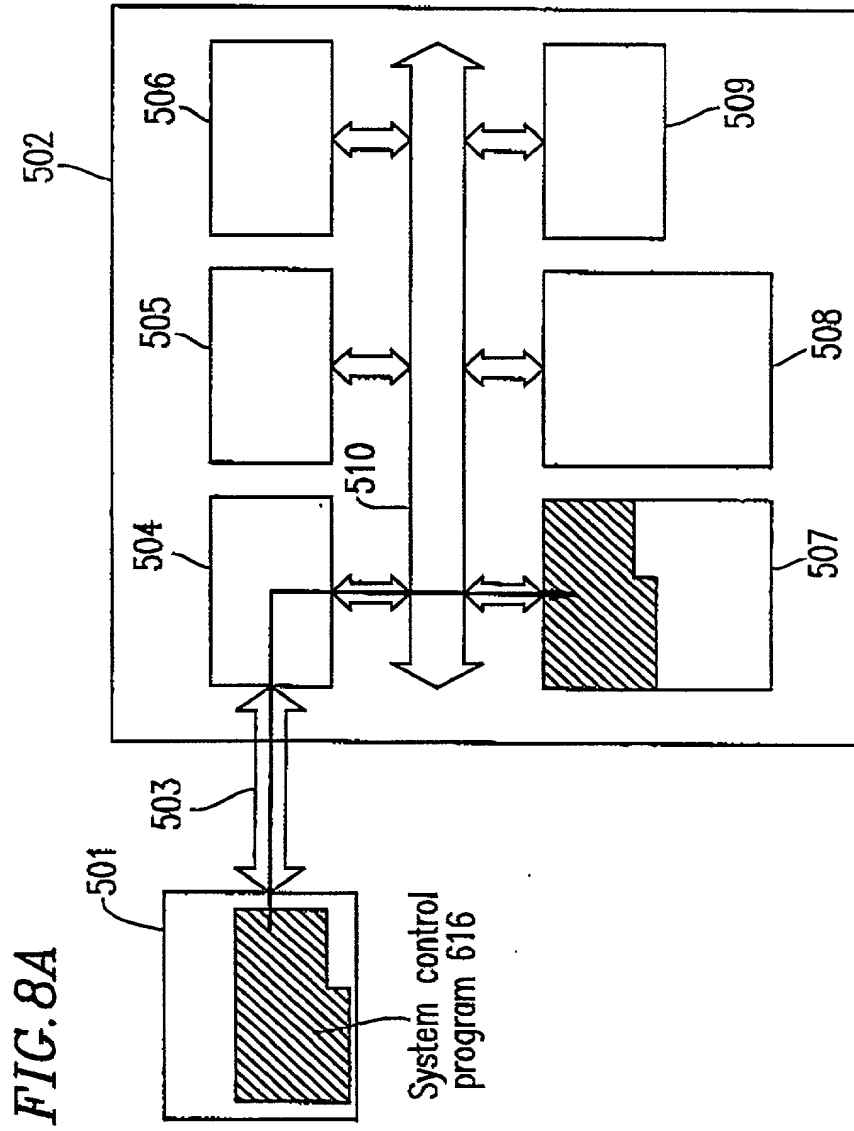
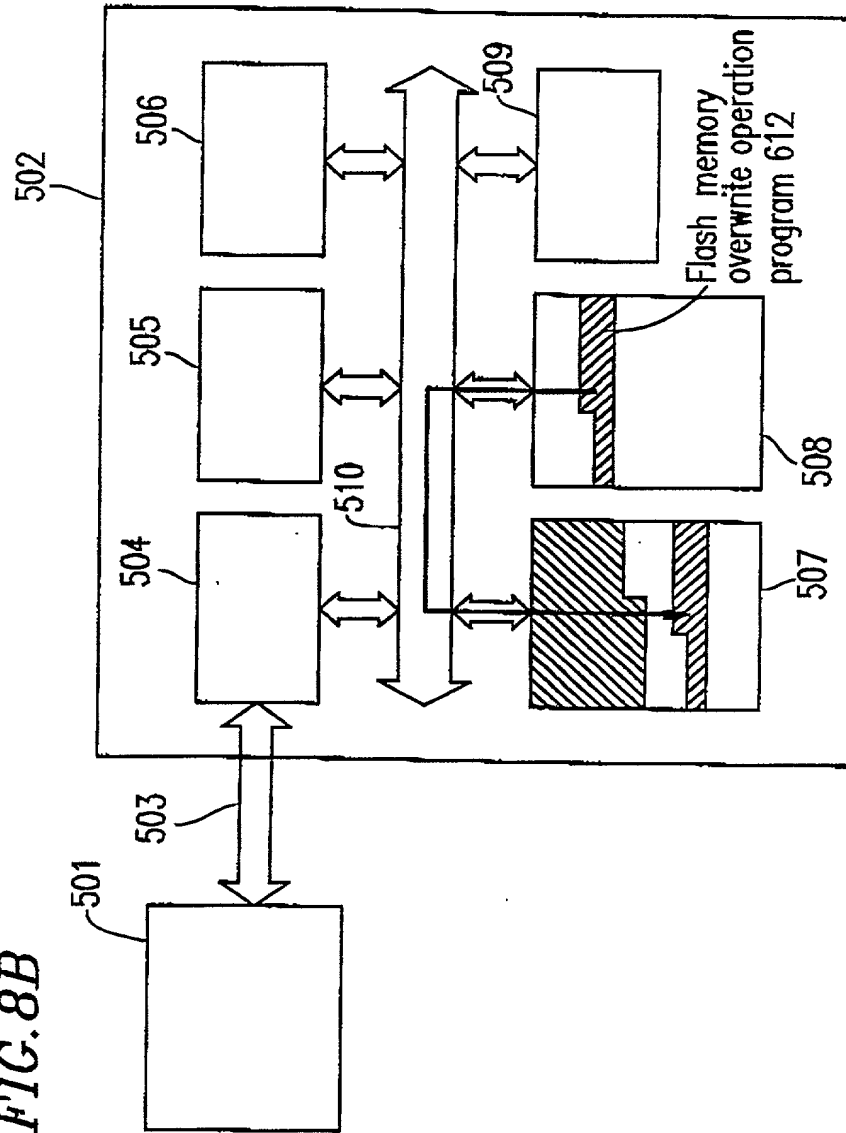


FIG. 8B



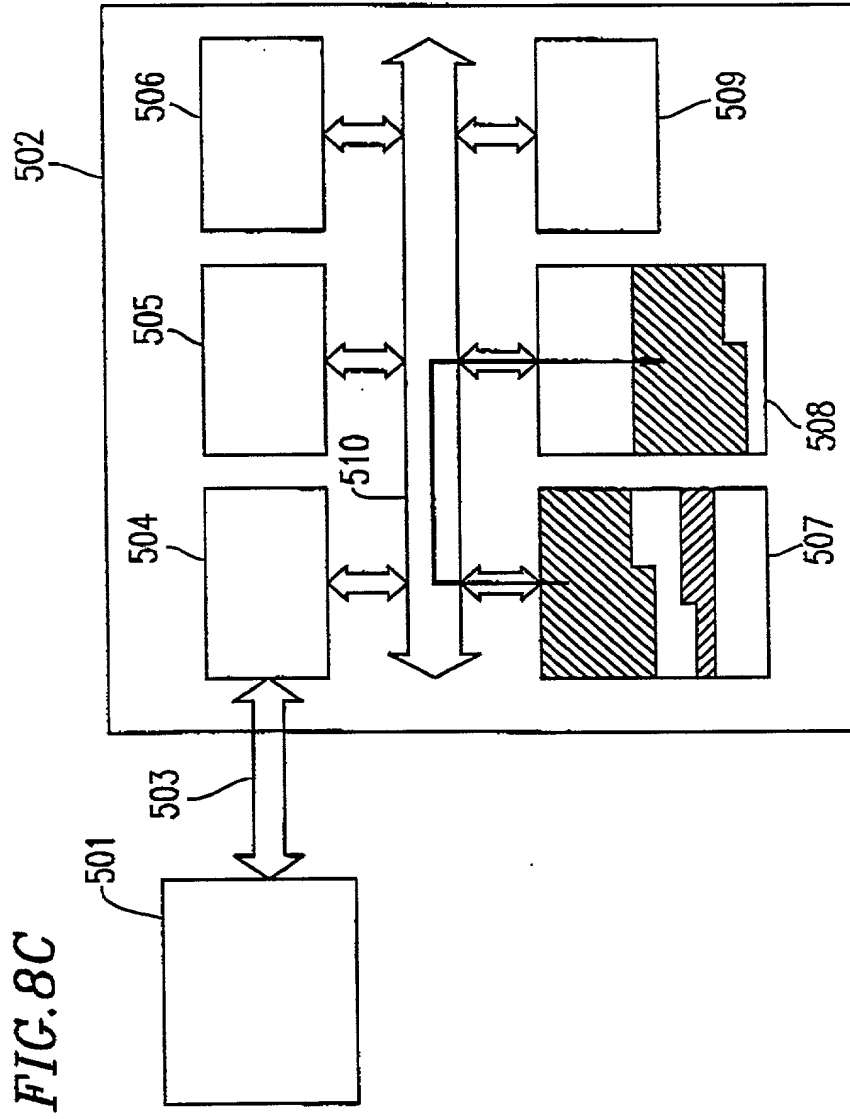


FIG. 9

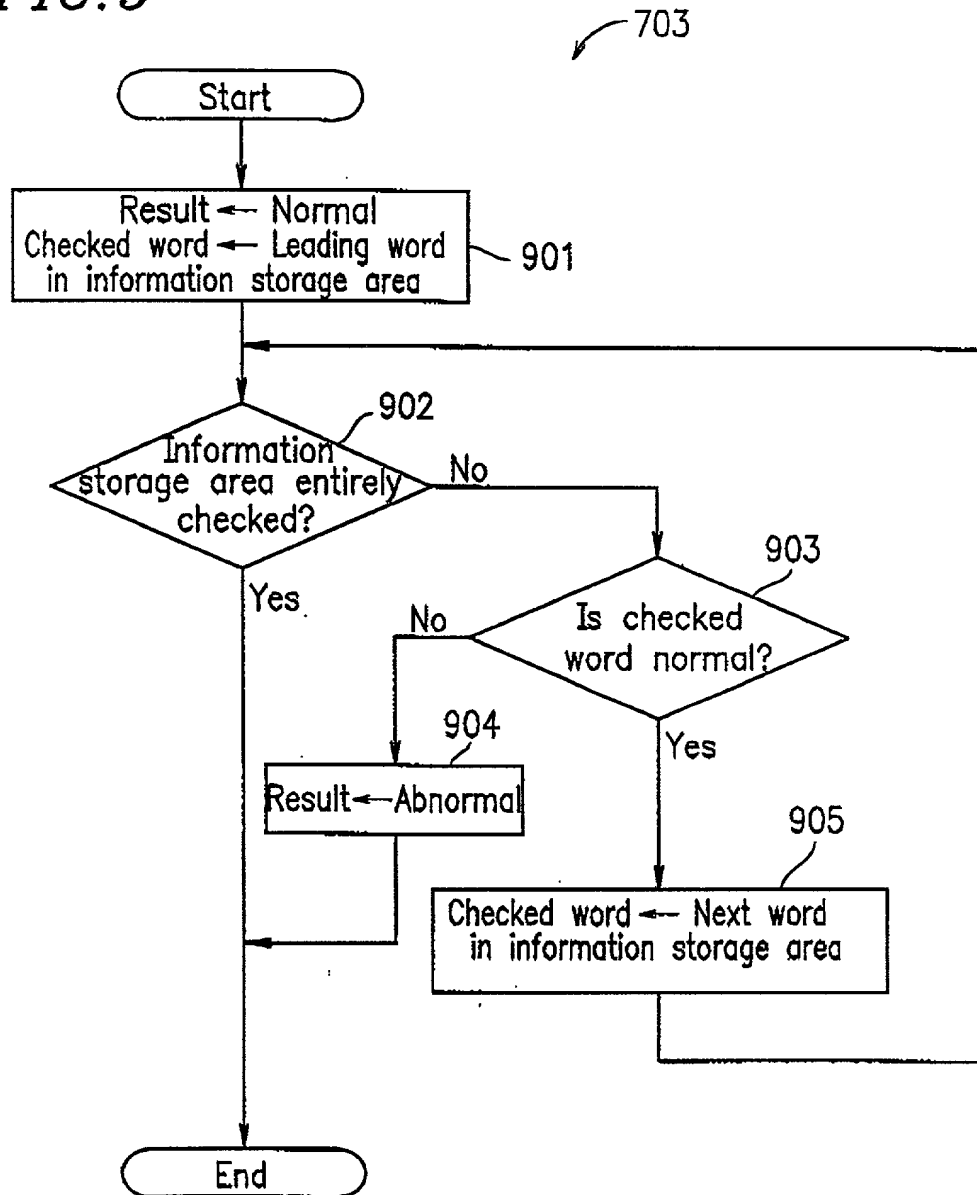


FIG. 10

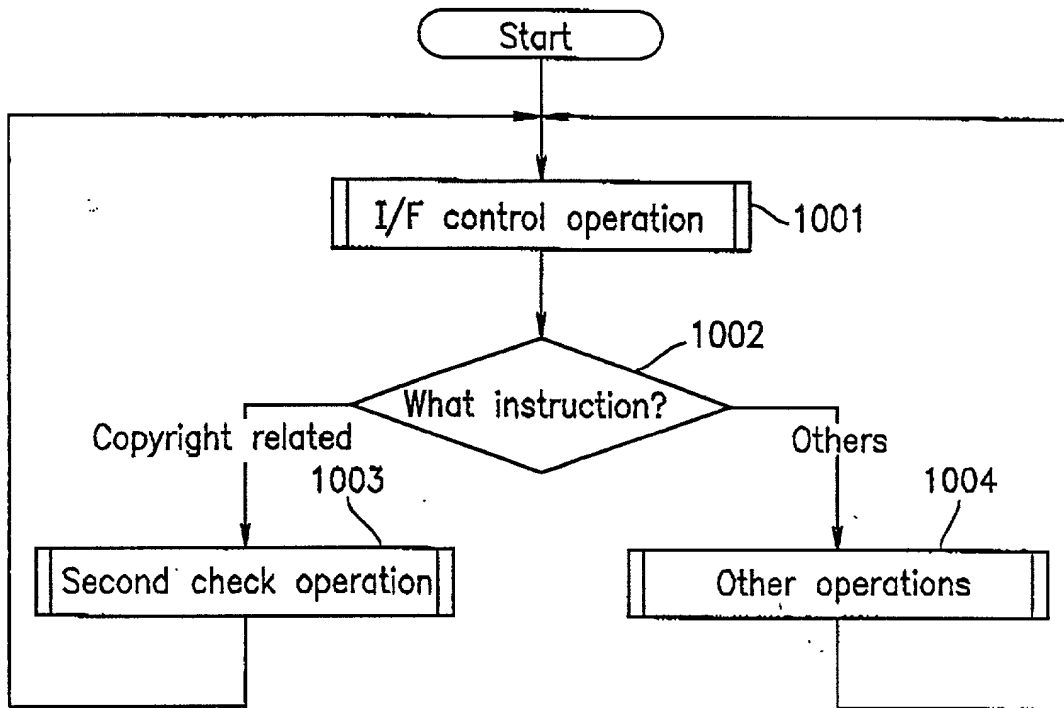


FIG. 11

